84 Holland Avenue Albany, NY 12208 11 Park Place New York, NY 10007 125 Main Street Buffalo, NY 14203

#### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

3571-2003/Case No. 10761

LULU'S LLC

NEW YORK, NY 10014

409 W 14TH STREET

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, 11 Park Place, Room 5-1B, New York, New York 10007, on **December 10, 2003**, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

- 1. That on 8/28/03, the licensee violated Rule 54.3 of the Rules of the State Liquor Authority [9 NYCRR 48.3], in that it did not conform with all applicable building codes, and/or fire, health, safety and governmental regulations; a summons was issued for unlicensed cabaret; all cause for revocation, cancellation or suspension of the license in accordance with Rule 36.1(f) of the Rules of the State Liquor Authority (9 NYCRR 53.1(f)].
- 2. That on 9/4/03, in violation of subdivision 1 of section 65 of the Alcoholic Beverage Control Law, the licensee sold, delivered or gave away, or permitted to be sold, delivered or given away, alcoholic beverages to a person or persons actually under the age of twenty-one years.
- 3. That on 9/3/03, the licensee violated Rule 54.3 of the Rules of the State Liquor Authority [9 NYCRR 48.3], in that it did not conform with all applicable building codes, and/or fire, health, safety and governmental regulations; a summons was issued for unlicensed cabaret; all cause for revocation, cancellation or suspension of the license in accordance with Rule 36.1(f) of the Rules of the State Liquor Authority (9 NYCRR 53.1(f)].

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address JEFFREY HACKER

Licensee's Landlord
MOLLY BENDER

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the

Date: 11/10/2003

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel by: SCOTT WEINER, ESQ. 11 Park Place, 5th Floor New York, New York 10007

Tel: [212] 417-4179 Fax: [212] 417-2056

84 Holland Avenue Albany, NY 12208

11 Park Place New York, NY 10007

125 Main Street Buffalo, NY 14203 

license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Certified Mail # 7001 2510 0002 5248 9886

CC: Warren Pesetsky, Pesetsky & Bookman, 325 Broadway, Suite 501, New York, NY 10007

84 Holland Avenue Albany, NY 12208

11 Park Place New York, NY 10007 125 Main Street Buffalo, NY 14203

### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

3730-2003/Case No. 11793

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, 11 Park Place, Room 5-1B, New York, New York 10007, on **December 10, 2003**, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

1. That on 9/5/03, in violation of subdivision 2 of section 106 of the Alcoholic Beverage Control Law, the licensee kept alcoholic beverages upon the licensed premises in containers the contents of which were contaminated and not as represented on the labels affixed thereto and/or contained foreign matter.

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address

JEFFREY HACKER

Licensee's Landlord
MOLLY BENDER

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty,

a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 11/10/2003

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel by: SCOTT WEINER, ESQ.

11 Park Place, 5th Floor

Tel: [212] 417-4179 Fax: [212] 417-2056

Certified Mail # 7001 2510 0002 5248 9879

CC:WARREN PESETSKY, ESQ., PESETSKY & BOOKMAN, 325 BROADWAY, SUITE 501, NEW YORK, NY 10007

84 Holland Avenue Albany, NY 12208 105 West 125th Street New York, NY 10027 125 Main Street Buffalo, NY 14203

#### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

3970-2003/Case No. 11805

LULU'S LLC 409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 105 West 125th Street, 4th Floor, New York, New York 10027, on MARCH 17, 2004, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

1. That on 9/7/03, the licensee violated Rule 54.3 of the Rules of the State Liquor Authority [9 NYCRR 48.3], in that it did not conform with all applicable building codes, and/or fire, health, safety and governmental regulations; a summons was issued for unlicensed cabaret; all cause for revocation, cancellation or suspension of the license in accordance with Rule 36.1(f) of the Rules of the State Liquor Authority (9 NYCRR 53.1(f)].

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address



Licensee's Landlord
MOLLY BENDER

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 02/10/2004

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel

by: SCOTT WEINER, ESQ.

105 West 125th Street, 4th Floor New York, New York 10027

Tel: [212] 961-8329 Fax: [212] 961-8316

Certified Mail # 7003 1680 0000 0295 7176

CC: Warren Pesetsky, Esq., Pesetsky & Bookman, 325 Broadway, Suite 501, New York, NY 10007

80 South Swan Street, Suite 900 Albany, NY 12210-8002 317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

#### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

4324-2004/Case No. 21634

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 317 Lenox Avenue, 4th Floor, (between 125th & 126th Streets), New York, New York 10027, on November 28, 2007, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

1. That on 11/20/04, the licensee failed to comply with all applicable health, safety and governmental regulations, leading to three citations for health code violations; all cause for revocation, cancellation or suspension of the license in accordance with Rule 36.1(f) of the Rules of the State Liquor Authority (9 NYCRR 53.1(f)].

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address

JEFFREY HACKER

Licensee's Landlord
WILLIAM GOTTLIEB

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 10/25/2007

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel

by: SCOTT WEINER, ESQ.

317 Lenox Avenue

New York, New York 10027

Tel: [212] 961-8329 Fax: [212] 961-8316

80 South Swan Street, Suite 900 Albany, NY 12210-8002 317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

NOTICE OF PLEADING

1100320, NEW YORK OP 1100320

867-2005/Case No. 23301

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 317 Lenox Avenue, 4th Floor, (between 125th & 126th Streets), New York, New York 10027, on November 28, 2007, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

- 1. That on 10/7/04, the licensee sold alcoholic beverages not labeled or in conformity with rule 50 of the Rules of the State Liquor Authority [9 NYCRR 84]; all cause for revocation, cancellation or suspension of the license in accordance with subdivision 3 of section 107-a of the Alcoholic Beverage Control Law.
- 2. That on 10/7/04, in violation of subdivision 2 of section 106 of the Alcoholic Beverage Control Law, the licensee kept alcoholic beverages upon the licensed premises in containers the contents of which were not represented on the labels affixed thereto and/or contained foreign matter.

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address JEFFREY HACKER

OAXINITE APPL

<u>Licensee's Landlord</u> WILLIAM GOTTLIEB

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 10/25/2007

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel by: SCOTT WEINER, ESQ. 317 Lenox Avenue

New York, New York 10027

Tel: [212] 961-8329 Fax: [212] 961-8316

80 South Swan Street, Suite 900 Albany, NY 12210-8002

317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

1843-2006/Case No. 31216

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 317 Lenox Avenue, 4th Floor, (between 125th & 126th Streets), New York, New York 10027, on 11/28/2007, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

- 1. That on 1/14/06, 1/29/06 and/or 2/5/06, the licensee suffered or permitted the licensed premises to become disorderly by suffering or permitting altercation(s) and/or assault(s) to occur on the licensed premises in violation of subdivision 6 of section 106 of the Alcoholic Beverage Control Law.
- 2. That on 2/10/07, the licensee suffered or permitted disorder in violation of subdivision 6 of section 106 of the Alcoholic Beverage Control Law by suffering or permitting a robbery to occur inside the premises.

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address

Licensee's Landlord
WILLIAM GOTTLER

<u>Licensee's Landlord</u> WILLIAM GOTTLIEB

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 10/25/2007

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel by: SCOTT WEINER, ESQ. 317 Lenox Avenue

517 Leliox Avellue

New York, New York 10027

Tel: [212] 961-8329 Fax: [212] 961-8316

80 South Swan Street, Suite 900 Albany, NY 12210-8002 317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

3455-2007/Case No. 34547

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 317 Lenox Avenue, 4th Floor, (between 125th & 126th Streets), New York, New York 10027, on November 28, 2007, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

1. That on 4/16/2006, in violation of subdivision 2 of section 106 of the Alcoholic Beverage Control Law, the licensee kept alcoholic beverages upon the licensed premises in containers the contents of which were not as represented on the labels affixed thereto.

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address

JEFFREY HACKER

<u>Licensee's Landlord</u> WILLIAM GOTTLIEB

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 10/25/2007

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel

<u>by</u>: SCOTT WEINER, ESQ.

317 Lenox Avenue

New York, New York 10027

Tel: [212] 961-8329 Fax: [212] 961-8316

80 South Swan Street, Suite 900 Albany, NY 12210-8002 317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

3458-2007/Case No. 36881

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 317 Lenox Avenue, 4th Floor, (between 125th & 126th Streets), New York, New York 10027, on November 28, 2007, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

1. That on 4/28/2006 , the licensee suffered or permitted the licensed premises to become disorderly by suffering or permitting an altercation and/or assault to occur on the licensed premises in violation of subdivision 6 of section 106 of the Alcoholic Beverage Control Law.

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

Licensee's name and residence address JEFFREY HACKER



<u>Licensee's Landlord</u> WILLIAM GOTTLIEB

Notice to Landlord: As stated above, in the event the disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Date: 10/25/2007

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel

<u>by</u>: SCOTT WEINER, ESQ.

317 Lenox Avenue

New York, New York 10027

Tel: [212] 961-8329 Fax: [212] 961-8316

80 South Swan Street, Suite 900 Albany, NY 12210-8002 317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

#### IN THE MATTER OF PROCEEDINGS TO CANCEL OR REVOKE

1100320, NEW YORK OP 1100320

NOTICE OF PLEADING

3460-2007/Case No. 37891

LULU'S LLC

409 W 14TH STREET NEW YORK, NY 10014

PLEASE TAKE NOTICE, that pursuant to Section 118 of the Alcoholic Beverage Control Law you are required to answer by mail as provided below, or in person, at the office of the Division of Alcoholic Beverage Control, Harlem Center, 317 Lenox Avenue, 4th Floor, (between 125th & 126th Streets), New York, New York 10027, on November 28, 2007, at 11:00 AM, in connection with proceedings to cancel or revoke the above-referenced license, and to plead to the following charge(s):

- 1. That, on 7/14/06, in violation of subdivision 1 of section 65 of the Alcoholic Beverage Control Law, the licensee sold, delivered or gave away, or permitted to be sold, delivered or given away, alcoholic beverages to a person or persons actually under the age of twenty-one years.
- 2. That on 8/16/06, in violation of subdivision 2 of section 106 of the Alcoholic Beverage Control Law, the licensee kept liquors and/or wines upon the licensed premises in any container other than the original sealed package as received from the manufacturer or wholesaler, to wit:
- 3. That from 2004 through 2006, the occurrence of noise, disturbance, misconduct or disorder in the licensed premises, in front of or adjacent to the licensed premises, or in the parking lot of the licensed premises has resulted in the licensed premises becoming a focal point for police attention; all cause for revocation, cancellation or suspension of the license in accordance with Rule 36.1(q) of the Rules of the State Liquor Authority [9 NYCRR 53.1(q)] and Section 118(3) of the Alcoholic Beverage Control Law.

PLEASE TAKE NOTICE THAT YOUR FAILURE TO PLEAD WILL BE DEEMED A "NO CONTEST" PLEA AND NO FURTHER HEARING WILL BE HELD.

PLEASE TAKE FURTHER NOTICE that you may be represented by counsel. If you need a translator, you must bring one with you at your own expense.

PLEASE TAKE FURTHER NOTICE that you may plead to the charge(s) by mail instead of by personal appearance provided that a letter signed by you or your attorney, setting forth your plea of "Not Guilty" or "No Contest" is received by the Office of Counsel of the Division of Alcoholic Beverage Control at the above New York City address on or before the pleading date specified above.

PLEASE TAKE FURTHER NOTICE that the maximum penalty may be a revocation and forfeiture of the Bond filed by you, and or a civil penalty. In addition, if the Authority revokes the license, the Authority may proscribe the issuance of a license at the premises for a period of two years from the date of revocation of the license.

PLEASE TAKE FURTHER NOTICE: If you plead not guilty to the charge(s), a hearing will thereafter be scheduled at which you may appear with counsel, produce witnesses, and introduce evidence in your behalf.

PURSUANT TO SECTION 301 of the State Administrative Procedure Act, interpreter services shall be made available to deaf persons, at no charge, by the Authority.

<u>Licensee's name and residence address</u> JEFFREY HACKER

LAST TOTAL T

Licensee's Landlord
WILLIAM GOTTLIEB

Date: 10/25/2007

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

Robert F. Buckley, Associate Attorney Office of Counsel by: SCOTT WEINER, ESQ. 317 Lenox Avenue

New York, New York 10027

Tel: [212] 961-8329

Notice to Landlord: As stated above, in the event the

80 South Swan Street, Suite 900 Albany, NY 12210-8002 317 Lenox Avenue New York, NY 10027 535 Washington Street, Suite 303 Buffalo, NY 14203

disposition of this case results in a Revocation of the license, the Authority may impose, as part of the penalty, a two year prohibition against the issuance of any alcoholic beverage license at these premises.

Fax: [212] 961-8316